

Updating an Abel-Gauss-Riemann Program

Versions: 1st UC Irvine 05/22/08, 2nd Istanbul 06/18/08

In “What Gauss Told Riemann About Abel’s Theorem” (lecture at John Thompson’s 70th Birthday) I cited Otto Neuenschwanden on 60-year-old Gauss in conversation with 20-year-old Riemann.

Their goal: Generalize two of Abel’s famous results using Gauss’ harmonic functions. **Notation:**

$$\mathbf{g}_{\text{H-M}} = (g_1, g_1^{-1}, g_2, g_2^{-1}) \in \text{Ni}(G, \mathbf{C}) \text{ for an H-M rep.}$$

Two understatements: Riemann went far; but his early death left an incomplete program.

- §I. More modular curve lessons
- §II. Modular curve-like spaces with A_n s replacing D_p s

§I. More modular curve lessons: Extending [Talk₁]

Nielsen class Reminder: Finite G and r conjugacy classes \mathbf{C} : $\mathbf{g} = (g_1, \dots, g_r) \in \text{Ni}(G, \mathbf{C})$ satisfies:

- (generation) $\langle \mathbf{g} \rangle = G$;
- (conjugacy classes – with multiplicity) $\mathbf{g} \in \mathbf{C}$; and
- (product-one – in the given order) $g_1 \dots g_r = 1$.

Dragging a dihedral function by its branch points gives:

$\mathcal{H}(D_p, \mathbf{C}_{2^4}) \stackrel{\text{def}}{=} \{f \in \text{Ni}(D_p, \mathbf{C}_{2^4})\}$ complex and an analytic map, $\Psi_{D_p, \mathbf{C}_{2^4}}$, to (j_w, j_z) -space with image $Y_0(p)$.

§I.A. Here are the cusps, at all levels

Chow Lemma: Extend $\Psi_{D_p, \mathbf{C}_{2^4}}$ to include cusps \implies equations in (j_w, j_z) for $Y_0(p) \cup \text{cusps} \stackrel{\text{def}}{=} X_0(p)$.

Orbits means on $\text{Ni}(D_p, \mathbf{C}_{2^4})^{\text{abs}} \stackrel{\text{def}}{=} \text{Ni}(D_p, \mathbf{C}_{2^4})/N_p$ with

$$N_p = \left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \right\}_{a \in (\mathbb{Z}/p)^*, b \in \mathbb{Z}/p}.$$

- Points on $X_0(p)$ over $j_z \neq \infty \leftrightarrow \mathcal{Q}''$ orbits.
- Cusps (over $j_z = \infty$) $\leftrightarrow \text{Cu}_4 \stackrel{\text{def}}{=} \langle g_2, \mathcal{Q}'' \rangle$ orbits. Just two:
 H-M rep. $(g_1, g_1^{-1}, g_2, g_2^{-1}) = \mathbf{g}_{\text{H-M}}$, in this case a **p -cusp**;
 $p \mid \text{ord}(g_1^{-1}g_2)$;
 other by $(\mathbf{g}_{\text{H-M}})\mathbf{sh}$ (**g - p' cusp**; $p \nmid |\langle g_2, g_2^{-1} \rangle|$ or $|\langle g_1, g_1^{-1} \rangle|$).

§I.B. Generalize T_p compositions $T_{p^{k+1}} = T_p \circ \dots \circ T_p$: Use Schur-Zassenhaus and Frattini Properties

For $X_0(p^{k+1})$: Exchange $D_{p^{k+1}}$ for D_p , $N_{p^{k+1}}$ for N_p .

Form modular curves $X_1(p^{k+1})$: Replace $\text{Ni}(D_{p^{k+1}}, \mathbf{C}_{2^4})/N_{p^{k+1}}$ by $\text{Ni}(D_{p^{k+1}}, \mathbf{C}_{2^4})/D_{p^{k+1}} = \text{Ni}(\dots)^{\text{in}}$.

Schur-Zassenhaus: Regard \mathbf{C}_2 as a conjugacy class in each $D_{p^{k+1}}$.

Generation at level k (Frattini): $\mathbf{g} \in \mathbf{C}_{2^4} \cap D_{p^{k+1}} \implies \langle \mathbf{g} \rangle = D_{p^{k+1}}$ iff $\langle \mathbf{g} \bmod p \rangle = D_p$. So, to list $\text{Ni}(D_{p^{k+1}}, \mathbf{C}_{2^4})$ track just **product-one** condition.

§I.C. (product-one) D_p -iterations

Abel Iteration: Functions $f_k : \mathbb{P}_w^1 \rightarrow \mathbb{P}_z^1$ with branch locus $j' \in U_j$ modulo PGL_2 in $\mathrm{Ni}(D_{p^{k+1}}, \mathbf{C}_{2^4})^{\mathrm{abs}, \mathrm{rd}} \implies$ projective sequence of covers in $\mathrm{Ni}(D_{p^t}, \mathbf{C}_{2^4})^{\mathrm{abs}, \mathrm{rd}}$, $1 \leq t \leq k+1$.

Lemma: For ${}_0\mathbf{g} \in \mathrm{Ni}(D_p, \mathbf{C}_{2^4})^{\mathrm{abs}, \mathrm{rd}}$ a b(ranch)c(yc)d(esc) realized by $f_0 : \mathbb{P}_w^1 \rightarrow \mathbb{P}_z^1$, substitute another group G_t for $D_{p^{t+1}}$ with $\ker(G_t \rightarrow G_{t-1}) = \mathbb{Z}/p \stackrel{\mathrm{def}}{=} M_p$ a fixed $G_0 = D_p$ module. Assume product-one $\Leftrightarrow \mathbf{g} \in (G_t)^4 \cap \mathbf{C}_{2^4}$ over ${}_0\mathbf{g}$ to be in $\mathrm{Ni}(G_k, \mathbf{C}_{2^4})$ (generation automatic). **Then, $G_k = D_{p^{k+1}}$.**

Definition: $\mathbf{g} \in \mathrm{Ni}(D_{p^{k+1}}, \mathbf{C}_{2^4})^{\mathrm{abs}, \mathrm{rd}}$ is a (product-one) D_p -iteration of f_0 , $k+1$ times. **Warning:** We aren't composing f_0 , $k+1$ times, nor is the iteration unique. Yet, the result is composition of $k+1$ rational functions

determined by f_0 and \mathbf{g} .

§I.D. Growth of p -cusps with levels from a Spire

Higher Schur-Zassenhaus: A g - p' cusp (here $(\mathbf{g}_{\text{H-M}})\mathbf{sh}$) at level 0 \implies a projective sequence of g - p' cusps (here $\{({}_k\mathbf{g}_{\text{H-M}})\mathbf{sh} \in \text{Ni}_k\}_{k=0}^\infty$).

Theorem [Fr07b, Princ. 3.3]: In any Nielsen class, if $\mathbf{g}_{\text{H-M}}$ is a p -cusp, so is ${}_k\mathbf{g}_{\text{H-M}}$. Inductively in k , \exists a *new* p -cusp at level k (no p -cusp below it): the cusp of $(({}_{k+1}\mathbf{g}_{\text{H-M}})q_2^{p^k})\mathbf{sh}$.

Conclude: $\#$ of p -cusps grows with k in a **braided spire** (See §II.H; Picture App. A₂).

§I.E. 3 reasons to pinpoint Hurwitz space components

- Know precise definition field of components (from the B(ranch) C(ycle) L(emma)). Hone in on those over \mathbb{Q} .
- Know which components support a Modular Tower: analog for Hurwitz spaces of Shimura variety towers (both generalizing modular curve towers).
- Can identify components by their cusps, enabling Serre's arguments on modular curves for his O(pen) I(mage) T(heorem).

Reminder: When r (number of branch points) is 4, all reduced Hurwitz space components are upper half-plane quotients by a finite index subgroup of $\mathrm{PSL}_2(\mathbb{Z})$ [BF02, Prop. 2.3].

§II. Modular curve-like spaces with A_n s replacing D_p s

Liu-Osserman: $\text{Ni}_{(\frac{n+1}{2})_4}: G = A_n, n \equiv 5 \pmod{8}$

$D_p \leftrightarrow A_n, N_p \leftrightarrow S_n, C_2 \leftrightarrow C_{\frac{n+1}{2}}$: class of $\frac{n+1}{2}$ -cycle.

General case of Liu-Osserman is of genus 0 covers and **pure(one length > 1 disjoint)-cycle** conjugacy classes.

Basic facts: Suppose $\mathbf{g} \in A_n^4 \cap \mathbf{C}_{(\frac{n+1}{2})_4}$ satisfying product-one, with $\langle \mathbf{g} \rangle$ transitive. **Following hold:**

- $\langle \mathbf{g} \rangle = A_n$; and unless \mathbf{g} is **sh** of an H-M rep., cusp orbit $\text{Cu}_4(\mathbf{g})$ is *pure-cycle* (g_2g_3 itself is pure-cycle).
- g - $2'$ cusps have width 1 or 2 and are represented by **sh** applied to an H-M rep. [Fr07b, Prop. 3.10]+[Wm73]: Because $\langle g_2, g_3 \rangle$ and $\langle g_1, g_4 \rangle$ are $A_k, k \geq 4$, for some $k \leq n$, so not $2'$, unless they are cyclic groups.

§II.A. sh-incidence for $\text{Ni}_{\left(\frac{n+1}{2}\right)_4}^{\text{abs}}$

Notation: $x_{i,j} = (i \ i+1 \ \cdots \ j)$. List of inner H-M reps:

$$\text{H-M}_1 \stackrel{\text{def}}{=} (x_{\frac{n+1}{2},1}, x_{1,\frac{n+1}{2}}, x_{\frac{n+1}{2},n}, x_{n,\frac{n+1}{2}})$$

$$\text{H-M}_2 = (\text{H-M}_1)q_1 \stackrel{\text{def}}{=} (x_{1,\frac{n+1}{2}}, x_{\frac{n+1}{2},1}, x_{\frac{n+1}{2},n}, x_{n,\frac{n+1}{2}})$$

Absolute (resp. inner) cusps represented by Cu_4 orbits in $\text{Ni}_{\left(\frac{n+1}{2}\right)_4}/S_n$ (resp. $\text{Ni}_{\left(\frac{n+1}{2}\right)_4}/A_n$). As with modular curves, $Q'' = \langle \mathbf{sh}^2, q_1 q_3^{-1} \rangle$ is trivial on Nielsen classes. **Cusp orbit of H-M₁:**

$$\{\text{H-M}_{1,t} = (x_{\frac{n+1}{2},1}, x_{1+t,\frac{n+1}{2}+t}, x_{\frac{n+1}{2}+t,n+t}, x_{n,\frac{n+1}{2}})\}_{t=0}^{n-1}.$$

$(\mathbf{H-M}_{1,t})\mathbf{sh}$, labeled by middle product $\mathbf{mpr}_g = \text{ord}(g_2g_3)$:

$$0 \leq t \leq \frac{n-1}{2}, (\mathbf{H-M}_{1,t})\mathbf{sh} = [2t+1]_1 : \\ = \left(x_{1+t, \frac{n+2t+1}{2}}, \left(x_{\frac{n+2t+1}{2}, n}, x_{1,t} \right), x_{n, \frac{n+1}{2}}, x_{\frac{n+1}{2}, 1} \right)$$

$$t' = n-1-t, \frac{n+1}{2} \leq t \leq n-1, (\mathbf{H-M}_{1, n-t'})\mathbf{sh} = [2t'+1]_2 : \\ = \left(\left(x_{n-t'+1, n}, x_{1, \frac{n-2t'+1}{2}} \right), x_{\frac{n-2t'+1}{2}, n-t'}, x_{n, \frac{n+1}{2}}, x_{\frac{n+1}{2}, 1} \right)$$

For $0 \leq t \leq \frac{n-1}{2}$, $[2t+1]_1$ gives a list of absolute cusp reps.

So, we can label absolute cusps as ${}_cO_{2t+1}$, $0 \leq t \leq \frac{n-1}{2}$.

We list cusps in descending width along the rows and columns. In absolute sh-incidence matrix: $\frac{n+1}{2}$ 1's along 1-1 a(n ti)-(sub)d(iagonal); $\frac{n-1}{2}$ 2's along 3-3 ad, etc.

§II.B. sh-incidence: $r = 4$ and $Ni_{34}^{\text{in,abs}}$, $n = 5$

Cusp orbit	${}_{\mathbf{c}}O_5$	${}_{\mathbf{c}}O_3$	${}_{\mathbf{c}}O_1$
${}_{\mathbf{c}}O_5$	2	2	1
${}_{\mathbf{c}}O_3$	2	1	0
${}_{\mathbf{c}}O_1$	1	0	0

Three cusps: Along each row or column the sum is the cusp **width** — order of ramification of the cusp over $j = \infty$.

- $\bar{\mathcal{H}}_{\left(\frac{n+1}{2}\right)^4}^{\text{in,rd}} \xrightarrow{\text{deg}=2} \bar{\mathcal{H}}_{\left(\frac{n+1}{2}\right)^4}^{\text{abs,rd}} \xrightarrow{\text{deg} = \left(\frac{n+1}{2}\right)^2} \mathbb{P}_j^1$ ($\bar{\quad}$ includes cusps).
- $\bar{\mathcal{H}}_{\left(\frac{n+1}{2}\right)^4}^{\text{abs,rd}}$ embeds in $\mathbb{P}_{j_w}^1 \times \mathbb{P}_{j_z}^1$ (not modular curve, App. C₂).
- $n = 13$: Two (resp. one) width 13, 11, 5, 3 (resp. 18, 14, 2) cusps: No 2-cusps ($cO_1 = (\mathbf{g}_{\text{H-M}})\mathbf{sh}$; rest o(nly)-2' cusps).

§II.C. Abs-inn sh-incidence for $n = 13$, $Ni_{74}^{\text{in,rd}}$

Cusp orbit	cO_{13}	cO_{11}	cO_9	cO_7	cO_5	cO_3	cO_1
cO_{13}	$\frac{02}{20}$	$\frac{11}{11}$	$\frac{2}{2}$	$\frac{2}{2}$	$\frac{02}{20}$	$\frac{11}{11}$	$\frac{1}{1}$
cO_{11}	$\frac{11}{11}$	$\frac{02}{20}$	$\frac{2}{2}$	$\frac{2}{2}$	$\frac{11}{11}$	$\frac{01}{10}$	$\frac{0}{0}$
cO_9	2 2	2 2	4 ⁰	4	1 1	0 0	0
cO_7	2 2	2 2	4	2 ¹	0 0	0 0	0
cO_5	$\frac{02}{20}$	$\frac{11}{11}$	$\frac{1}{1}$	$\frac{0}{0}$	$\frac{00}{00}$	$\frac{00}{00}$	$\frac{0}{0}$
cO_3	$\frac{11}{11}$	$\frac{01}{10}$	$\frac{0}{0}$	$\frac{0}{0}$	$\frac{00}{00}$	$\frac{00}{00}$	$\frac{0}{0}$
cO_1	1 1	0 0	0	0	0 0	0 0	0

§II.D. Genus $\mathbf{g}_{n,*,*} = abs/in$: [Fr07b, Prop. 5.15]

- Each col. sums to cusp ram. index over \mathbb{P}_j^1 :
 cusp col.s over abs. cusp ${}_cO_{13}$ sum to 13;
 only cusp col. over abs. cusp ${}_cO_9$ sums to 18.
- Only $\gamma_0 = q_1q_2$ (resp. $\gamma_1 = \mathbf{sh}$) fixed points in ${}_cO_9$ (resp. ${}_cO_7$)
 cols. indicated by superscript 0 (resp. 1). **sh-incidence matrix
 same as γ_0 -incidence matrix** - elliptic fixed points forced.
- $\mathbf{g}_{5,abs} = \mathbf{g}_{5,in} = 0$; $\mathbf{g}_{13,abs} = 1, \mathbf{g}_{13,in} = 3$; Prop. 5.15 has
 all $n \equiv 1 \pmod{4}$. **For $n \equiv 1 \pmod{8}$, two components
 conjugate over L/\mathbb{Q} quadratic.**

II.E. Modular curve analog $\text{Ni}(G_{k,\text{ab}}, \mathbf{C}_{(\frac{n+1}{2})_4})^{\text{in,rd}}$ and (product-one) A_n -iterations

Changing D_p to A_n , for prime $p|n!$, but $p \nmid \frac{n+1}{2}$. Want G_0 module $M_{n,p}$ and p -Frattini covers $G_{k,\text{ab}} \rightarrow A_n = G_0$ so $\ker(G_{k,\text{ab}} \rightarrow G_{k-1,\text{ab}}) = M_{n,p}$.

\exists universal (abelianized) p -Frattini cover $\tilde{\varphi} : \tilde{G}_{\text{ab}}(A_n) \rightarrow A_n$.

$\ker(\tilde{\varphi})$: fin. dim. tors.-free $\mathbb{Z}_p[A_n]$ module, $m_{n,p} = \ker(\tilde{\varphi})/p\ker(\tilde{\varphi})$.

Defining levels: $G_{k,\text{ab}} = \tilde{G}_{\text{ab}}(A_n)/p^k \ker(\tilde{\varphi})$: Component \mathcal{H}'_k from a braid orbit Ni'_k on

$$\text{Ni}(G_{k,\text{ab}}, \mathbf{C}_{(\frac{n+1}{2})_4})^{\text{in,rd}} \leftrightarrow Y_1(p^{k+1}).$$

As (D_p, p) is to \mathbb{Z}/p , (A_n, p) is to $M_{n,p}$.

$(A_n, \mathbf{C}_{(\frac{n+1}{2})_4}, p=2)$ Modular Tower: Projective sequence $\{\mathcal{H}'_k\}_{k=0}^{\infty}$.

§II.F. The Spin_n -lifting invariant

When does there exist at least one MT over $\mathcal{H}(A_n, \mathbf{C}, p)$ for a general \mathbf{C} ?

When is there more than one?

When are all levels of a MT defined over \mathbb{Q} ?

Odd $d_1 \leq d_2 \leq \cdots \leq d_r$ pure-cycle A_n lengths, $I_{\mathbf{d}} \stackrel{\text{def}}{=} \sum_{i=1}^r d_i - 1$. [Fr+Se+We] Liu-Osserman case $I_{\mathbf{d}} = 2(n-1)$ ($\mathcal{H}(A_n, \mathbf{C}_{d_1 \dots d_r})$ irreducible):

For $p \neq 2$, at least one MT. For $p = 2$, at least one iff

(*) $\sum_{i=1}^r \frac{d_i^2 - 1}{8} \equiv 0 \pmod{2}$ (includes if there is a H-M rep.).

§II.G. Listing 2-cusps in $\text{Ni}(G_1, \mathbf{C}_{(\frac{n+1}{2})_4})^{\text{in,rd}}$.

[Talk₃] explains: If $I_d > 2(n-1)$ usually more than one component at level 0 and then at least one supports a (nonempty) MT for all allowed primes.

Call level 0 cusp ${}_cO_g$, $g \in \text{Ni}_{(\frac{n+1}{2})_4}$, a 2-T(otal)J(ump) cusp if all cusp orbits on $\text{Ni}(G_1, \mathbf{C}_{(\frac{n+1}{2})_4})$ over it are 2-cusps.

Thm: ${}_cO_g$ is 2-TJ iff the middle product \mathbf{mpr}_g (p. 9) satisfies

$$(-1)^{\frac{\mathbf{mpr}_g^2 - 1}{8}} = -1.$$

Conclusion: In p. 10 listing of cusp reps. by middle products: $0 \leq t \leq \frac{n-1}{2}$, $[2t+1]_1$, 2-cusps correspond to

$$(-1)^{\frac{(2t+1)^2 - 1}{8}} = -1, \text{ or } t \equiv 3, 5 \pmod{8}.$$

§II.H. Why an H-M Modular Tower, $\{\mathcal{H}'_k\}_{k=0}^\infty$ –
 each level has an H-M rep. – has a spire

Since $[n]_1$ is an H-M rep., an adjustment to Thm. of §I.D gives a spire on this MT (App. A₂). Conclude:
 2-cusps grow with k on each MT over
 $\text{Ni}(A_n, \mathbf{C}_{(\frac{n+1}{2})_4})^{\text{in,rd}}, n \equiv 5 \pmod{8}$.

Idea: Combine these two facts:

- For $\mathbf{g} \in \text{Ni}(G_{i'}, \mathbf{C})^{\text{in,rd}}$ representing a p cusp, with $p^{j'} \parallel \mathbf{mpr}_{\mathbf{g}}$, then for any $\mathbf{g}' \in \text{Ni}(G_{i'+k'}, \mathbf{C})^{\text{in,rd}}$ over \mathbf{g} , $p^{j'+k'} \parallel \mathbf{mpr}_{\mathbf{g}'}$.
- If \mathbf{g}' is an H-M rep. (so \mathbf{g} is also), then there is an explicit braid $(\mathbf{g}')q = \mathbf{g}''$, with $p \parallel \mathbf{mpr}_{\mathbf{g}''}$.

App. §A₂: A modular curve-like Spire

Theorem 1. *A MT with a H(arbater)-M(umford) cusp branch of p -cusps has a **spire**: sub-tree isomorphic to a modular curve cusp tree. Holds for $p = 2$ at level 1, for Liu-Osserman $n \equiv 5 \pmod{8}$. Doesn't hold for $n \equiv 1 \pmod{8}$.*

SPIRE: Growth of p cusps with level: Subscript is power of p dividing the middle product.

Level 1 : \bullet_p
Level 2 : \bullet_{p^2} \bullet_p
Level 3 : \bullet_{p^3} \bullet_{p^2} \bullet_p
... :

App. B₂. p -cusp MT Conjectures

Main Conj. 1: K a number field, then High MT levels have no K points. Cadoret [Ca08]: Showed Strong Torsion Conjecture on abelian varieties implies this.

Cadoret-Tamagawa [CaT08] showed this for $r \leq 4$.

Main Conj. 2: a. High MT levels have general type (for $r = 4$ implies Conj. 1) and are b. relatively p -Frattini over the previous level (\implies weak form of Serre's Open Image Theorem). This talk shows the a. part for MTs over Liu-Osserman spaces, but hasn't yet shown the b. part.

15 years ago Pierre Debes and I noted relation of dihedral groups to work of Kamienny and Mazur [DFr94].

Compare to Mazur-Meryl

Conjecture: There can't be regular involution realizations over \mathbb{Q} of all $D_{p^{k+1}}$, k unbounded, p any (fixed) odd prime, with at most r_0 (any number you like), branch points \Leftrightarrow a uniform bound on $\mu(p^{k+1})$ -torsion points on hyperelliptic jacobians of dimension no more than r_0 : with such (*involution*) realizations necessarily in $\text{Ni}(D_{p^{k+1}}, \mathbf{C}_{2^{r_0}})^{\text{in,rd}}$.

The MT program generalizes that: Replacing dihedral groups by any finite G , p any prime with G p -perfect (has no \mathbb{Z}/p quotient). To date, only a handful of involution realizations of dihedral groups known — most from Mazur's theorem, even without a bound (r_0) on branch points.

App. C₂: Andre's Thm. and Shimura special points

Each space $\mathcal{H}_{(\frac{n+1}{2})^4}^{\bar{\text{abs,rd}}}$, $n \equiv 1 \pmod{4}$ embeds in $\mathbb{P}_{j_w}^1 \times \mathbb{P}_{j_z}^1$: Each cover with branch points $\{z_1, \dots, z_4\}$, has a distinguished point w_i over z_i corresponding to the pure-cycle, $i = 1, \dots, 4$, akin to modular curve case.

The geometric monodromy group of $\mathcal{H}_{(\frac{n+1}{2})^4}^{\bar{\text{abs,rd}}} \rightarrow \mathbb{P}_{j_z}^1$ is $A_{(\frac{n+1}{2})^2}$ (easily seen from the pure-cycle cusps described § II.A). So, $\mathcal{H}_{(\frac{n+1}{2})^4}^{\bar{\text{abs,rd}}}$ cannot possibly be a modular curve.

The points of form $(j(\tau'), j(\tau''))$ with τ' and τ'' fixed by “complex multiplications” are (*Shimura special*) [Sh71, §4.4].

Andre's Thm: There are only finitely many such points on a non-modular curve embedded in $\mathbb{P}_{j_w}^1 \times \mathbb{P}_{j_z}^1$.

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