

*Five lectures on the profinite arithmetic geometry of Modular Towers, for London, Ontario, Oct. 2005: Mike Fried, UCI and MSU-B* [www.math.uci.edu/~mfried/talkfiles/london-texas10-05.html](http://www.math.uci.edu/~mfried/talkfiles/london-texas10-05.html)

Talks # 1 and # 2 foreshadow Modular Towers (**MTs**). Talks # 4 and # 5 investigate them directly. Lecture dependencies: #1  $\mapsto$  #2, #3  $\mapsto$  #5; # 3 requires only upper-division algebra; # 1 has the context for # 4.

1. **Dihedral groups**: Seeing cusps on modular curves from their **MT** Viewpoint
2. **Alternating groups**: The role of  $g$ - $p'$  cusps
3. **Colloquium**: Cryptography and Schur's Conjecture
4. **Limit groups**: Mapping class group orbits and maximal Frattini quotients of dimension 2  $p$ -Poincaré dual groups
5. **Galois closure groups**: Outline proof of the Main Conjecture for  $r = 4$ ; variants of the Regular Inverse Galois Problem; Serre's Open Image Theorem

Modular curves systematically use cusps. **MTs** has a group approach to those cusps that generalizes modular curves and their applications. This uses combinatorial groups: subgroups and quotients of *braid groups* (*mapping class groups*) acting on *Nielsen classes* defined by conjugacy classes in any finite group  $G$ . Applications vary with the conjugacy classes and with equivalences on Nielsen classes. [Fr06] contains everything you need for *Riemann's Existence Theorem* and Braid actions.

## *Dihedral groups: Seeing cusps on modular curves from their **MT** Viewpoint*

Our Regular Inverse Galois Problem (RIGP) analogy: The modular curve tower for an odd prime  $p$  is to **MTs** as the dihedral group  $D_p$  is to all  $p$ -perfect finite groups.

The RIGP asks if for each finite group  $G$  some Galois extension  $L/\mathbb{Q}(z)$  has group  $G$  and  $L \cap \mathbb{C} = \mathbb{Q}$ . Attached to this are branch points with associated (branch cycle) conjugacy classes  $\mathbf{C}$  of  $G$ .

The *Branch Cycle Lemma* limits those  $\mathbf{C}$  that can define a  $\mathbb{Q}$  realization. I will explain why each  $p$ -perfect group  $G$  and set of  $p'$  conjugacy classes challenges the RIGP.

- As do modular curve towers, **MTs** have levels corresponding to powers of a prime.
- Our (weak) Main Conjecture on **MTs** says  $\mathbb{Q}$  points disappear at high tower levels.

When we use four conjugacy classes, **MT** levels (starting from level 0) are upper half-plane quotients covering the classical  $j$ -line. Yet, rarely are they modular curves.

## *Alternating groups: The role of $g$ - $p'$ cusps*

Modular curve towers are **MT**s defined by dihedral groups and four repetitions of the involution conjugacy class. We open the application territory with the case  $G$  is an alternating group  $A_n$  ( $n \geq 4$ ),  $p = 2$  and  $\mathbf{C}$  consists of  $r \geq n - 1$  3-cycles. The use of homological algebra is immediate. Describing level 0 **MT** components generalizes Serre's Stiefel-Whitney approach to Spin covers [Ser90b]. There are either 1 or 2 components: each has a Spin invariant value [Fr05b].

This “example” combined with modular curves lie at two extremes in understanding **MT**s. What organizes this example is the notion of  $g$ - $p'$  cusps.

Present Inverse Galois applications ([Ca05a], [De04], [DDe04] and [DEm04]) use a special case of  $g$ - $p'$  cusps, called *Harbater-Mumford*. A theme in these lectures is that results for H-M cusps should generalize to  $g$ - $p'$  cusps, with all of them being avatars of moduli properties that resemble what happens at the “widest” modular curve cusps.

## *Colloquium: Cryptography and Schur's Conjecture*

I will define capitalized words during the talk.

In 1831, 19 year old Everiste Galois introduced **FINITE FIELDS**. The easiest are PRIME finite fields: Integers modulo a prime. You may know bankers who never heard of Galois, yet they know of cryptography and finite fields. Addition and multiplication on these keep financial data secure.

Numbers in a finite field form an **ABELIAN group**. The nonzero numbers form a **CYCLIC** group. This allows encoding data using special polynomials: the easiest being odd degree **CYCLIC POLYNOMIALS**  $x^3, x^5, \dots$ . In 1919 (1923), Group theorist Isaiah Schur guessed at a complete list of polynomials that could encode data in large prime finite fields. These are special cases of *exceptional covers*. We explain why Schur's guess (solved in 1969, after over 500 partial results) was correct. Main tools are **NONABELIAN GALOIS THEORY**, also introduced by Galois, and complex variables.

We conclude with comments on [Fr05a] and [GMS03]. These start from Schur's conjecture to show how exceptional covers are integral to the modern topics of *Serre's Open Image Theorem* and *chow motives*.

## *Limit groups: Maximal Frattini quotients of dim. 2 $p$ -Poincaré dual groups*

The Main **MT** Conjecture matters only when a particular tower has a projective system of components. We rephrase finding such systems to solving embedding problems for group extensions. Here are our key words: What are the maximal  $p$ -Frattini quotients (limit groups) of orientable dimension 2  $p$ -Poincaré dual groups defined by a mapping class group orbit.

The legacy work for this is in [Br82] and [Ser91]. We use Weigel's Theorem to get results on possible limit groups ([Fr05c] and [We05]). Even modular curves give something new. A universal *Heisenberg group* obstruction shows why this case has a unique limit group.

A well-supported conjecture suggests when the limit group is maximal possible: equal to the full *universal  $p$ -Frattini cover of  $G$* . It is when a component has what we call a  $g$ - $p'$  cusp.

We'll do one example in detail:  $G = A_4$ ,  $\mathbf{C}$  is four 3-cycle conjugacy classes and  $p = 2$  [Fr05c]. Applying Wohlfahrt's Theorem shows the two level 0 components aren't modular curves, though they look to be, for they have a modular curve property first noted by Abel.

## *Galois closure groups: Outline proof of Main Conjecture for $r = 4$ ; variants of Regular Inverse Galois Problem; Serre's Open Image Theorem*

Properties of **MT**s conjecturally generalize two famous modular curve results: Mazur-Merel's uniformly bounding rational points on modular curves, and Serre's *Open Image Theorem* (OIT: [R90], [Ser68]).

Distinguishing them has a **MT** phrasing. The former (resp. latter) is a statement on **MT** components from inner (resp. absolute) Nielsen classes. The Mazur-Merel generalization says we can expect no naive approach to the RIGP for any perfect groups.

We relate Serre's renown on modular curves to cryptology (using exceptional covers: Abstract #3).

The Main Conjecture is a weak **MT** version of Serre's Theorem (for modular curves). We'll outline how the universal  $p$ -Frattini cover contributes to proving the Main Conjecture for  $r = 4$ . The **MT**s for  $(A_4, \mathbf{C}_{\pm 3^2})$  and  $(A_5, \mathbf{C}_{3^4})$  show the proof pieces in action.

**Both contribution RIGP applications:** The maximal exponent 2 Frattini cover of  $A_5$  (subscript is 5) has  $\infty$  inequivalent 4 branch point realizations only if one of the two genus 1 (among six) level 1 components has a nontorsion  $\mathbb{Q}$  point.

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