

# Poincaré series from Cryptology and Exceptional Towers

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Part 0: Exceptionality and fiber products

Part I: Exceptional rational functions over number fields

Part II: The exceptional tower  $\mathcal{T}_{Z, \mathbb{F}_q}$  of any variety  $Z$  over  $\mathbb{F}_q$

Part III: Generalizing Exceptionality: Pr-exceptional covers and Davenport pairs

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Part V: Comparing  $\mathcal{T}_{\mathbb{P}^1, \mathbb{F}_q}$  with various subtowers: Generated by Serre's Open Image Theorem, **CM** part; By Serre's Open Image Theorem, **GL** part; By Wildly ramified polynomials.

## Part 0: Exceptionality and fiber products

<http://math.uci.edu/~mfried> → §1.a. Articles and Talks: → • Finite fields, Exceptional covers and  
motivic Poincare series

An  $\mathbb{F}_q$  cover  $\varphi : X \rightarrow Z$  of *absolutely irreducible normal varieties* is *exceptional* if  $\varphi$  one-one on  $\mathbb{F}_{q^t}$  points for infinitely many  $t$ .

For a  $\neq$  field:  $\varphi$  has infinitely many exceptional residue class field reductions. We use the Davenport-Lewis name *exceptional* because, equivalently, a version of their geometric property holds for  $\varphi$ .

## Using fiber products

Assume  $\varphi_i : X_i \rightarrow Z$ ,  $i = 1, 2$ , are two covers (of normal varieties) over  $K$ . The set theoretic fiber product has geometric points

$\{(x_1, x_2) \mid x_i \in X_i(\bar{K}), i = 1, 2, \varphi_1(x_1) = \varphi_2(x_2)\} :$   
 $x \in X(\bar{\mathbb{F}}_q)$  is a point in  $X$  with coordinates in  $\bar{\mathbb{F}}_q$ .

Won't be normal at  $(x_1, x_2)$  if  $x_1$  and  $x_2$  both ramify over  $Z$ . The *categorical* fiber product here is *normalization* of the result: components are disjoint, normal varieties,  $X_1 \times_Z X_2$ .

## Galois closure of a cover

Denote  $X \times_Z X$  minus the diagonal by  $X_Z^2 \setminus \Delta$ .

$X_Z^k \setminus \Delta$ :  $k$ th iterate of the fiber product minus the *fat diagonal*; empty if  $k > n = \deg(\varphi)$ .

Any  $K$  component  $\hat{X}$  of  $X_Z^n \setminus \Delta$  is a  $K$  Galois closure of  $\varphi$ : unique up to  $K$  isomorphism of Galois covers of  $Z$ .

$S_n$  action on  $X_Z^n \setminus \Delta$  gives the Galois group  $G(\hat{X}/Z) \stackrel{\text{def}}{=} \hat{G}_\varphi$ : subgroup fixing  $\hat{X}$ . Without  $\hat{\phantom{X}}$ ,  $G_\varphi$ , denotes absolute Galois closure.

## Part I: Exceptional rational functions over $\neq$ fields

Cyclic polynomials have the form  $x \rightarrow x^n$ . RSA code scheme uses these. Fewer people know about Chebychev polynomials. Yet, these also have their cryptography use, as do compositions of these types.

**Proposition 1.** *If  $(n, p - 1) = 1$ , then we can use  $x^n$  to scramble data into  $\mathbb{Z}/p$ . If  $n$  is odd, there are infinitely many such primes  $p$ .*

*Proof.* Euler's Theorem: Powers of a single integer  $\alpha$  fill out  $\mathbb{Z}/p \setminus \{0\} \stackrel{\text{def}}{=} \mathbb{Z}/p^*$ . □

## Residue Primes that work for (odd) $n$

Take  $p \in \{k + m \cdot n \mid m \in \mathbb{Z}\}$  where  $k$  satisfies:

- $(k, n) = 1$  (apply Dirichlet's Theorem); and
- $(k - 1, n) = 1$  ( $(p - 1 = k - 1 + m \cdot n, n) = 1$ ).

Example:  $k = 2$  works; other integers may too.

## Tchebychev polynomials of odd degree $n$

$$T_n\left(\frac{1}{2}\left(x + \frac{1}{x}\right)\right) = \frac{1}{2}\left(x^n + \frac{1}{x^n}\right),$$
$$T_n : \{\infty, \pm 1\} \mapsto \{\infty, \pm 1\}.$$

**Proposition 2.** *If  $(n, 6) = 1$ , then  $T_n : \mathbb{Z}/p \rightarrow \mathbb{Z}/p$  maps one-one for infinitely many  $p$ . Exactly those primes  $p$  with  $(p^2 - 1, n) = 1$ .*

**Proof:** Use finite fields  $\mathbb{F}_{p^2} \supset \mathbb{Z}/p$ :  $\mathbb{F}_{p^2}^*$  cyclic.

## 2. Schur's Conjecture:

Cryptography we recognize in modern algebra goes back to the middle of the 1800s. They used finite fields as the place to encode a message.

**Conjecture 3 (Schur 1921).** Only compositions of cyclic, Tchebychev and degree 1 ( $x \mapsto ax + b$ ) give polynomials mapping 1-1 on  $\mathbb{Z}/p$  for  $\infty$ -ly many  $p$ .

**Problem 4.** How to check if an  $f(x)$  is a composition of the correct polynomials? If so, how to check if it is 1-1 for  $\infty$  of  $p$  (notation:  $1-1_\infty$ )?



## Points toward proving Schur's conjecture:

**Step 1:** If  $f = f_1 \circ f_2$  ( $f_i \in \mathbb{F}_q[x]$ ), then  $f$  is 1–1 $_{\infty}$  if and only if  $f_1$  and  $f_2$  are 1–1 $_{\infty}$ .

**Subtle reduction:** If  $f$  decomposes over  $\mathbb{C}$  then it decomposes over  $\mathbb{Q}$  (not automatic for *rational* functions). So, to prove Schur's conjecture we consider  $f$  *indecomposable* over  $\bar{K}$ .

**Step 2:** Consider 1–1 $_{\infty}$   $f$  with  $f : \mathbb{Z}/p \rightarrow \mathbb{Z}/p$  1-1. Then, the polynomial expression

$$(*) \quad \varphi(x, y) = \frac{f(x) - f(y)}{x - y} = 0$$

has no solutions  $(x_0, y_0) \in \mathbb{Z}/p \times \mathbb{Z}/p$ ,  $x_0 \neq y_0$ .

## Cover characterization of exceptionality

**Proposition 5 (Weil).** *If  $\varphi(x, y)$  has  $u$  absolutely irreducible factors (over  $\mathbb{F}_p$ ), then  $(*)$  has at least  $u \cdot p + A\sqrt{p}$  solutions (some  $A$  constant in  $p$ ).*

**Corollary 6.** *If  $f$  is  $1-1_\infty$ , then  $\varphi(x, y) \bmod p$  has no absolutely irreducible factors (for  $p$  large).*

**Proposition 7.** [DL63]  $\rightarrow$  [Mc67]  $\rightarrow$  [Fr74]  $\rightarrow$  [Fr05]  $\rightarrow$  [GLTZ07]: *General  $\mathbb{F}_q$  cover of normal varieties:  $\varphi : X \rightarrow Z$  exceptional over  $\mathbb{F}_{q^t}$   
 $\Leftrightarrow X_Z^2 \setminus \Delta$  has no  $\mathbb{F}_{q^t}$  abs. irred. components.*

For  $1 \leq n < \infty$   $f : \mathbb{P}_x^1 \rightarrow \mathbb{P}_z^1$ , the groups  $\hat{G}_f$  and  $G_f$

Consider  $f(x) - z = 0$  with  $z$  a variable. Find  $n$  solutions  $x_1, \dots, x_n$  in some algebraic closure  $F$  of  $\mathbb{Q}(z)$ :  $f(x_i) = z$ ; they generate a field  $\mathbb{Q}(x_1, \dots, x_n, z) \stackrel{\text{def}}{=} L_f$ . Then,  $\hat{G}_f = G(L_f/\mathbb{Q}(z))$ .

**Proposition 8.** *Then,  $G_f \leq S_n$  is primitive, not doubly transitive, and contains an  $n$ -cycle.*

**Example 9.** Assume  $n > 2$  is prime. The group  $D_n$  (Dihedral of degree  $n$ ) with generators

$$g_1 = (1\ n)(2\ n-1) \cdots \left(\frac{n-1}{2}\ \frac{n+3}{2}\right)$$

$$g_2 = (2\ n)(3\ n-1) \cdots \left(\frac{n+1}{2}\ \frac{n+3}{2}\right)$$

is primitive, not double transitive, has an  $n$ -cycle.

## Why primitive with an $n$ -cycle?

With  $f(x) = x^n + a_1x^{n-1} + \dots + a_n$  (exceptionality allows monic). Solve for  $x$  from  $f(x) = z$ . Solution:

$$x_1 = z^{1/n} + b_0 + b_1z^{-1/n} + b_2z^{-2/n} + \dots .$$

Substitute  $e^{\frac{2\pi i \cdot k}{n}} z^{\frac{1}{n}} \mapsto z^{1/n}$  for  $n$ -cycle in  $G_f$ .

Let  $G_f(x_1)$  be the subgroup of  $G_f$  fixing  $x_1$ . **Primitive** means no proper group  $H$  with  $G_f(x_1) < H < G_f$ . Galois correspondence: Such an  $H$  would mean a field  $L = \mathbb{Q}(w)$  with  $\mathbb{Q}(z) < L < \mathbb{Q}(x_1)$ . So,  $w = f_2(x_1)$ , and  $z = f_1(w)$ . Contrary to indecomposable  $f$ :  $f_1(f_2(x_1)) = z$ .

## Concluding Schur's Conjecture

Why  $G_f$  is not doubly transitive: Equivalent to  $\varphi(x, y) (X_Z^2 \setminus \Delta)$  has at least two factors over  $\bar{\mathbb{Q}}$  (from no abs. irred. factors over  $\mathbb{Q}$ ).

Get Schur's conjecture if  $1-1_\infty$  and indecomposable  $f$  is variable change of cyclic or Chebychev polynomial. Chebychev case: variable change,  $(z, x) \rightarrow (az + b, a'x + b')$  ( $a, b, a', b' \in K$ ), allows  $f(\pm u) = \pm u$  with  $u^2 = a \in K$ .

Then, with  $\ell_u : x \mapsto ux$ ,  $f = \ell_u \circ T_n \circ \ell_u^{-1} \stackrel{\text{def}}{=} T_{n,a}$ :  $u^{n-1}T_{n,a}$  is what a large literature calls a *Dickson polynomial* [LMT93].

## All exceptional prime degree rational $f$

Step 1: Show  $G_f$  is a cyclic or dihedral group.

**Proposition 10 (Famous Group Results).** *If  $n$  is a prime, then (Burnside):*

$$G_f \leq \left\{ \begin{pmatrix} u & v \\ 0 & 1 \end{pmatrix} \mid u \in (\mathbb{Z}/n)^*, v \in \mathbb{Z}/n \right\} \stackrel{\text{def}}{=} \mathbb{Z}/n \times^s (\mathbb{Z}/n)^*.$$

*For  $n$  not prime there is no such  $G_f$ : Schur.*

Step 2: Show  $G_f$  dihedral (resp. cyclic)  $\iff$  polynomial  $f$  is Chebychev (resp. cyclic) after changing variables.

Best part: *Monodromy method* solves many other problems (Schur's conjecture the easiest).

## Step 2 cont: Apply Riemann's Existence Theorem.

For  $g \in S_n$ ,  $\text{ind}(g) \stackrel{\text{def}}{=} n - \#$  of disjoint cycles in  $g$  (including length 1).

If  $f : \mathbb{C}_x \cup \{\infty\} \rightarrow \mathbb{C}_z \cup \{\infty\}$ , with branch points  $z_1, \dots, z_r \implies r$  elements  $g_1, \dots, g_r \in G_f$  (*branch cycles*) with these properties:

- $G_f = \langle g_1, \dots, g_{r-1} \rangle$  (generation);
- $\prod_{i=1}^r g_i = 1$  (product-one); and
- $2(n - 1) = \sum_{i=1}^r \text{ind}(g_i)$  (genus 0).

## Finish Polynomial case

- $g_r \stackrel{\text{def}}{=} g_\infty$  is an  $n$ -cycle; and
- $n - 1 = \sum_{i=1}^{r-1} \text{ind}(g_i)$  (genus 0).

**Proposition 11.** *Combine with*

$$g_1, \dots, g_{r-1}, g_\infty \in \mathbb{Z}/n \times^s (\mathbb{Z}/n)^*.$$

*Polynomial Result:*

- $\{g_1, \dots, g_{r-1}\} = \{g_1, g_2\}$  as in Ex. 9 modulo conjugation in  $S_n$ ,  $g_\infty = (1\ 2 \dots n)^{-1}$ ; or
- $r = 2$  and  $g_1 = (1\ 2 \dots n)$ .

*Tchebychev/cyclic polynomial branch cycles.*



## Dominant rational (not polynomial) function case

Branch cycles are  $(g_1, g_2, g_3, g_4)$ ,  $g_i$ s conjugate to  $\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \in \mathbb{Z}/n \times^s \{\pm 1\}$ . Most new functions from Weierstrass  $\wp$ -functions through this diagram:

$$\begin{array}{ccc}
 \mathbb{C}_{\{\pm w\}} \cup \{\infty\} & \xrightarrow{f} & \mathbb{C}_{\{\pm z\}} \cup \{\infty\} \\
 \uparrow \text{mod } \{\pm 1\} & & \uparrow \text{mod } \{\pm 1\} \\
 \mathbb{C}_w / L_w & \xrightarrow{\text{mod } L_z / L_w \equiv \mathbb{Z}/n} & \mathbb{C}_z / L_z.
 \end{array}$$

Here  $L_w \leq L_z$  both generated over  $\mathbb{Z}$  by two linearly independent (over  $\mathbb{R}$ ) complex numbers.

Part II: Exceptional tower  $\mathcal{T}_{Z, \mathbb{F}_q}$  of variety  $Z$  over  $\mathbb{F}_q$   
Extension of constants series

Let  $\hat{K}_\varphi(k)$  be the minimal def. field of (geom.)  $\bar{K}$  components of  $X_Z^k \setminus \Delta$ ,  $1 \leq k \leq n$ :

$$\ker(\hat{G}_\varphi \rightarrow G(\hat{K}_\varphi(n)/K)) = G_\varphi.$$

Each  $\hat{K}_\varphi(k)/K$  is Galois: *k*th ext. of constants field:  $G(\hat{K}_\varphi(k)/K)$  permutes geom. components of  $X_Y^k \setminus \Delta$ . Denote perm. rep. by  $T_{\varphi, k}$ .

## Characterize exceptional

There is a natural sequence of quotients

$$\begin{aligned} G(\hat{X}/Y) \rightarrow G(\hat{K}_\varphi(n)/K) &\rightarrow \cdots \rightarrow G(\hat{K}_\varphi(k)/K) \\ &\rightarrow \cdots \rightarrow G(\hat{K}_\varphi(1)/K). \end{aligned}$$

$G(\hat{K}(1)/K)$  is trivial iff all  $K$  components of  $X$  are absolutely irreducible.

**Theorem 12.** *For  $K$  a finite field,  $G(\hat{K}_\varphi(2)/K)$  having no fixed points under  $T_{\varphi,2}$  characterizes  $\varphi$  being exceptional ([Fr74], [Fr05], [GLTZ07]).*

## The tower $\mathcal{T}_{Z, \mathbb{F}_q}$ and its cryptology potential

Morphisms  $(X, \varphi) \in \mathcal{T}_{Z, \mathbb{F}_q}$  to  $(X', \varphi') \in \mathcal{T}_{Z, \mathbb{F}_q}$  are covers  $\psi : X \rightarrow X'$  with  $\varphi = \varphi' \circ \psi$ . Partially order  $\mathcal{T}_{Z, \mathbb{F}_q}$  by  $(X, \varphi) > (X', \varphi')$  if there is an  $(\mathbb{F}_q)$  morphism  $\psi$  from  $(X, \varphi)$  to  $(X', \varphi')$ .

Then  $\psi$  induces:

- a homomorphism  $G(\hat{X}_\varphi / X_\varphi)$  to  $G(\hat{X}_{\varphi'} / X_{\varphi'})$ ; and
- canonical map from cosets of  $G(\hat{X}_\varphi / X_\varphi)$  in  $G(\hat{X}_\varphi / Z)$  to the corresponding cosets for  $X'$ .

Note:  $(X, \psi)$  is automatically in  $\mathcal{T}_{X', \mathbb{F}_q}$ .

## Forming the exceptional tower

Nub of an exceptional tower of  $(Z, \mathbb{F}_q)$ :  $\exists$  unique minimal exceptional cover  $X$  — the *fiber product* — dominating exceptional covers  $\varphi_i : X_i \rightarrow Z$ ,  $i = 1, 2$ . Note: Everything depends on  $\mathbb{F}_q$ .

For  $(X, \varphi) \in \mathcal{T}_{Z, \mathbb{F}_q}$  denote cosets of  $G(\hat{X}_\varphi / X_\varphi)$  in  $G(\hat{X}_\varphi / Z) = \hat{G}_\varphi$  by  $V_\varphi$ ; coset of 1 by  $v_\varphi$  and the rep. of  $\hat{G}_\varphi$  on these cosets by  $T_\varphi : \hat{G}_\varphi \rightarrow S_{V_\varphi}$ . Write  $G(\hat{K}_{\varphi_i}(2) / \mathbb{F}_q)$  as  $\mathbb{Z} / d(\varphi_i)$ ,  $i = 1, 2$ .

Why  $X_1 \times_Z X_2$  has exactly one abs. irred. comp.

Do  $\frac{1}{2}$ , suppose none! Let  $\mathbb{F}_{q^{t_0}}$  contain coefficients of all absolutely irred.  $X_1 \times_Z X_2$  comps. Then, if  $(t, t_0) = 1$ ,  $X_1 \times_Z X_2$  has no abs. irr. com. over  $\mathbb{F}_{q^t}$ . Normality  $\implies X_1 \times_Z X_2(\mathbb{F}_{q^t}) = \emptyset$ .

D-L criterion allows assuming  $\varphi_i$ s are étale. Then,  $t \in (\mathbb{Z}/d(\varphi_i))^*$ ,  $i = 1, 2$ ,  $\implies \varphi_i$  is 1-1 and onto (over  $\mathbb{F}_{q^t}$ ),  $i = 1, 2$ . For  $t$  large,  $\exists z \in Z(\mathbb{F}_{q^t}) \implies \exists x_i \in X_i(\mathbb{F}_{q^t}) \mapsto z$ ,  $i = 1, 2$ .

So  $(x_1, x_2) \in X_1 \times_Z X_2(\mathbb{F}_{q^t})$ .

$\mathcal{T}_{Z, \mathbb{F}_q}$  is a very rigid category

**Proposition 13.** *In  $\mathcal{T}_{Z, \mathbb{F}_q}$  there is at most one ( $\mathbb{F}_q$ ) morphism between any two objects. So,  $\varphi : X \rightarrow Z$  has no  $\mathbb{F}_q$  automorphisms:  $\text{Cen}_{S_{V_\varphi}}(\hat{G}_\varphi) = \{1\}$ .*

*Then,  $\{(\hat{G}_\varphi, T_\varphi, v_\varphi)\}_{(X, \varphi) \in \mathcal{T}_{Z, \mathbb{F}_q}}$  canonically defines a compatible system of permutation representations; it has a projective limit  $(\hat{G}_Z, T_Z)$ .*

**Value of the Tower:** It now makes sense to form the subtower generated by special exceptional covers: The minimal tower including all covers in the set. Examples: Tamely ramified subtower; Schur-Dickson subtower of  $\mathcal{T}_{\mathbb{P}_z^1, \mathbb{F}_q}$ ; Subtower generated by **CM** (or **GL<sub>2</sub>**) covers from Serre's OIT (Part V).

## Exceptional scrambling

For any  $t$  let  $\mathcal{T}_{Z, \mathbb{F}_q}(t)$  be those covers with  $t$  in their *exceptionality set*.

Cryptology starts by encoding a message into a set. For  $t$  large our message encodes in  $\mathbb{F}_{qt}$ . Then, select  $(X, \varphi) \in \mathcal{T}_{Z, \mathbb{F}_q}(t)$ . Embed our message as  $x_0 \in X(\mathbb{F}_{qt})$ . Use  $\varphi$  as a one-one function to pass  $x_0$  to  $\varphi(x_0) = z_0 \in Z(\mathbb{F}_{qt})$  for “publication.” You and everyone else who can understand “message”  $x_0$  can see  $z_0$  below it. To find out what is  $x_0$  from  $z_0$ , need an *inverting function*  $\varphi_t^{-1} : Z(\mathbb{F}_{qt}) \rightarrow X(\mathbb{F}_{qt})$ .



## Inverting the scrambling map

**Question 14 (Periods).** With  $X = \mathbb{P}_x^1$  and  $Z = \mathbb{P}_z^1$ , identify them to regard  $\varphi$  on  $\mathbb{F}_{q^t}$  as  $\varphi_t$ , permuting  $\mathbb{F}_{q^t} \cup \{\infty\}$ . Label the order of  $\varphi_t$  as  $m_{\varphi,t} = m_t$ . Then,  $\varphi_t^{m_t-1}$  inverts  $\varphi_t$ . How does  $m_{\varphi,t}$  vary, for genus 0 exceptional  $\varphi$ , as  $t$  varies?

Standard RSA inverts  $x \mapsto x^n$  by inverting the  $n$ th power map on  $\mathbb{F}_{q^t}^*$  (mult. by  $n$  on  $\mathbb{Z}/(q^t - 1)$  — Euler's Theorem). Works for all covers in the *Schur Sub-Tower* of  $(\mathbb{P}_y^1, \mathbb{F}_q)$  generated by  $x^n$ s and  $T_n$ s. (For  $T_n$ s, “invert mult. by  $n$ ” on  $\mathbb{Z}/(q^{2t} - 1)$ .)

## Part III: pr-exceptional covers and Davenport pairs

**Definition 15.**  $\varphi : X \rightarrow Z$  is *p(ossibly)r(educible)-exceptional*:  
 $\varphi : X(\mathbb{F}_{qt}) \rightarrow Z(\mathbb{F}_{qt})$  surjective for  $\infty$ -ly many  $t$ .

Then,  $\varphi$  is exceptional iff  $X$  is abs. irreducible. We even allow  $X$  to have no abs. irred. comps.

Form  $\hat{X} \rightarrow Z$  (with its canonical rep.  $T_\varphi$ ), the Galois closure with group  $\hat{G}_\varphi$ , and get an extension of constants field with  $G(\hat{\mathbb{F}}_\varphi/\mathbb{F}_q) = \mathbb{Z}/\hat{d}(\varphi)$ .

## D-L generalization; pr-exceptional characterization

For  $t \in \mathbb{Z}/\hat{d}(\varphi)$ :

$$\hat{G}_{\varphi,t} \stackrel{\text{def}}{=} \{g \in \hat{G}_{\varphi} \mid \text{restricts to } t \in \mathbb{Z}/\hat{d}(\varphi)\}.$$

**Exceptionality set**  $E_{\varphi}$  of a pr-exceptional cover:

$$\{t \in \mathbb{Z}/\hat{d}(\varphi) \mid \forall g \in \hat{G}_{\varphi,t} \text{ fixes } \geq 1 \text{ letter of } T_{\varphi}\}.$$

**pr-exceptional correspondences:**  $W \subset X_1 \times X_2$

with projections  $W \rightarrow X_i$ s pr-exceptional.

**Exceptional correspondence** between  $X_1$  and  $X_2$

$$\implies |X_1(\mathbb{F}_{q^t})| = |X_2(\mathbb{F}_{q^t})| \text{ for } \infty\text{-ly many } t.$$

If  $X_2 = \mathbb{P}_z^1$ , then  $\sum_{t=1}^{\infty} (a_n \stackrel{\text{def}}{=} |X_1(\mathbb{F}_{q^t})|) u^t$  has  $a_n = q^t + 1$  for  $\infty$ -ly many  $t$ .

## A zoo of high genus except. correspondences between $\mathbb{P}_{x_1}^1$ and $\mathbb{P}_{x_2}^1$

If  $\varphi_i : \mathbb{P}_{x_i}^1 \rightarrow \mathbb{P}_z^1$ ,  $i = 1, 2$  is exceptional, then  $\mathbb{P}_{x_1}^1 \times_{\mathbb{P}_z^1} \mathbb{P}_{x_2}^1$  has a unique absolutely irreducible component, an exceptional cover of  $\mathbb{P}_{x_i}^1$ ,  $i = 1, 2$ .

Suppose  $\varphi_i : X_i \rightarrow Z$ ,  $i = 1, 2$ , are abs. irreducible covers. The minimal  $(\mathbb{F}_q)$  Galois closure  $\hat{X}$  of both is any  $\mathbb{F}_q$  component of  $\hat{X}_1 \times_Z \hat{X}_2$ . Attached group,  $\hat{G} = \hat{G}_{(\varphi_1, \varphi_2)} = G(\hat{X}/Z)$ : Fiber product of  $G(\hat{X}_1/Z)$  and  $G(\hat{X}_2/Z)$  over maximal  $H$  through which they both factor.

## D(avenport)Pairs: new pr-except. correspondences

**Definition 16.**  $(\varphi_1, \varphi_2)$  is a DP (resp. i(sovalent)DP) if  $\varphi_1(X_1(\mathbb{F}_{q^t})) = \varphi_2(X_2(\mathbb{F}_{q^t}))$  for  $\infty$ -ly many  $t$  (resp. ranges assumed with same multiplicity; T. Bluer's name).

Equivalent to being a DP:

$X_1 \times_Z X_2 \xrightarrow{\text{pr } X_i} X_i$ , is pr-exceptional, and the exceptionality sets  $E_{\text{pr}_i}(\mathbb{F}_q)$ ,  $i = 1, 2$ , have nonempty (so infinite) intersection

$$E_{\text{pr}_1}(\mathbb{F}_q) \cap E_{\text{pr}_2}(\mathbb{F}_q) \stackrel{\text{def}}{=} E_{\varphi_1, \varphi_2}(\mathbb{F}_q).$$

## Part IV: (Chow) motives: Diophantine category of Poincare series over $(Z, \mathbb{F}_q)$

Let  $W_{D, \mathbb{F}_q}(u) = \sum_{t=1}^{\infty} N_D(t)u^t$  be a Poincaré series for a diophantine problem  $D$  over a finite field  $\mathbb{F}_q$ . We call these *Weil vectors*. Example:  $F(\mathbf{x}, \mathbf{z}) \in \mathbb{F}_q[\mathbf{x}, \mathbf{z}]$ ,  
$$N_D(t) = |\{\mathbf{z} \in \mathbb{F}_{q^t}^{m_z} \mid \exists \mathbf{x} \in \mathbb{F}_{q^t}^{m_x}, F(\mathbf{x}, \mathbf{z}) = 0\}|.$$

*Weil Relation* between  $W_{D_1, \mathbb{F}_q}(u)$  and  $W_{D_2, \mathbb{F}_q}(u)$ :  
 $\infty$ -ly many coefficients of  $W_{D_1, \mathbb{F}_q}(u) - W_{D_2, \mathbb{F}_q}(u)$  equal 0. Effectiveness result: For any Weil vector, the support set of  $t \in \mathbb{Z}$  of 0 coefficients differs by a finite set from a union of full Frobenius progressions.

## Motivic formulation

**Question 17.** If Poincare series of  $X$  over  $\mathbb{F}_q$  has  $t$ -th coefficient equal  $q^t + 1$  for  $\infty$ -ly many  $t$ , is there a chain of except. correspondences from  $X$  to  $\mathbb{P}^1$ ?

Equivalent to characterizing  $X$  for which  $\sum_{t=1}^{\infty} \text{tr}_{\text{Fr}_{q^t}} \left[ \sum_0^2 (-1)^i H_{\ell}^i(X) \right] u^t$  has a relation with the series with  $X = \mathbb{P}^1$ : *Chow motive* coefficients.

There are  $p$ -adic versions: Replace  $\mathbb{F}_{q^t}$  by higher residue fields with the Witt vectors  $R_t$  with residue class  $\mathbb{F}_{q^t}$ ; and use integration instead of counting.

## Result of Denef-Loeser [Fr77], [DL01], [Ni04]

Consider a number field version, by  $R_{\mathfrak{p}}$  the completion the integers of  $K$  with respect to prime  $\mathfrak{p}$ . Then,  $W_{D,R_{\mathfrak{p}}}(u) \stackrel{\text{def}}{=} \sum_{v=1}^{\infty} N_{D,R_{\mathfrak{p}}}(v)u^v$  with  $N_{D,R_{\mathfrak{p}}}(v)$  using values in  $R_{\mathfrak{p}}/\mathfrak{p}^v$  that lift to values in  $R_{\mathfrak{p}}$ . To make this useful motivically requires doing this for those  $D$  with a map to a fixed space  $Z/K$ .

Given  $D$ , There is a string of — relative to  $Z$  — Chow motives (over  $K$ )  $\{[M_v]\}_{v=0}^{\infty}$ , so for almost all  $\mathfrak{p}$ ,  $W_{D,R_{\mathfrak{p}}}(u) = \sum_{t=1}^{\infty} \text{tr}_{\text{Fr}_{\mathfrak{p}}}[M_t]u^t$ .



## Role of iDPs

Given Weil Vector  $W(D, \mathbb{F}_q)$  over  $(Z, \mathbb{F}_q)$  and  $\varphi : X \rightarrow Z$  can define *pullback*  $W^\varphi(D, \mathbb{F}_q)$  over  $(X, \mathbb{F}_q)$ .

Assume  $\varphi_i : X_i \rightarrow Z$ ,  $i = 1, 2$ , is an iDP over  $\mathbb{F}_q$ ,  $X_1 = X_2$  and  $D$  has a map to  $Z$ . Then,  $(\varphi_1, \varphi_2)$  produces new Weil vectors  $W_{D, \mathbb{F}_q}^{\varphi_i}$ ,  $i = 1, 2$ , and a *relation* between  $W_{D, \mathbb{F}_q}^{\varphi_1}(u)$  and  $W_{D, \mathbb{F}_q}^{\varphi_2}(u)$ :  $\infty$ -ly many coefficients of  $W_{D, \mathbb{F}_q}^{\varphi_1}(u) - W_{D, \mathbb{F}_q}^{\varphi_2}(u)$  equal 0.

## Part V: CM and $GL_2$ exceptional genus 0 covers

Test for a cover  $\varphi : X \rightarrow Z$  decomposing. Check  $X \times_Z X \setminus \Delta$  for irreducible components  $Z$  of form  $X' \times_Z X'$ . If none, then  $\varphi$  is indecomposable. Otherwise,  $\varphi$  factors through  $X' \rightarrow Z$  (Gutierrez, et.al. from [FrM69]).

Denote the minimal Galois extension of  $K$  over which  $\varphi$  decomposes into absolutely indecomposable covers by  $K_\varphi(\text{ind})$ : The **indecomposability field** of  $\varphi$ .

**Proposition 18.** *For any cover  $\varphi : X \rightarrow Z$  over a field  $K$ ,  $K_\varphi(\text{ind}) \subset \hat{K}_\varphi(2)$ .*

## Most of rest of genus 0 except. covers/ $\mathbb{Q}$

[Fr78], [GSM04]: From Weierstrass  $\wp$ -functions.

$$\begin{array}{ccc}
 \mathbb{P}_{\pm w}^1 & \xrightarrow{f} & \mathbb{P}_{\{\pm z\}}^1 \\
 \uparrow \text{mod } \{\pm 1\} & & \uparrow \text{mod } \{\pm 1\} \\
 \mathbb{C}_w/L_w & \xrightarrow{\text{mod } L_z/L_w} & \mathbb{C}_z/L_z.
 \end{array}$$

- Case CM:  $\deg(f) = r$ , a prime
- Case  $GL_2$ :  $\deg(f) = r^2$ , a prime squared

[O67], [Se68], [Se81], [R90], [Se03]  $\Leftrightarrow$  case of Serre's O(pen)I(mage)T(heorem). CM case can describe **inversion period** from "Euler's Theorem," essentially equivalent to the theory of complex multiplication.

## **GL<sub>2</sub> gist [Fr05, §6.1-.2], Serre's GL<sub>2</sub> OIT [Se68, etc]**

- $[f] \mapsto \mathbb{P}_j^1$  by the  $j$ -invariant of the 4 branch points;
- $G_f = (\mathbb{Z}/r)^2 \times^s \{\pm 1\}$ ; yet
- for a non-CM  $j$ -invariant (say in  $\mathbb{Q}$ ), then for a.a.  $r$ , then for  $f \stackrel{\text{def}}{=} f_{j,r}$ ,  $\hat{G}_f = (\mathbb{Z}/r)^2 \times^s \text{GL}_2(\mathbb{Z}/r)$ .

Exceptionality versus indecomposability: Given  $f_{j,r}$  and the set  $\mathcal{A}$  of  $A \in \text{GL}_2(\mathbb{Z}/r)/\{\pm 1\}$  for which  $A$  acts irreducibly on  $(\mathbb{Z}/r)^2$ . Consider  $P_{f_{j,r},\mathcal{A}}$  those primes  $p$  with the Frobenius of  $f_{j,r} : \mathbb{P}_w^1 \rightarrow \mathbb{P}_z^1 \pmod p$  in  $\mathcal{A}$ . For such  $p$

- $f_{j,r} \pmod p$  is exceptional; and (equivalently)
- $f_{j,r} \pmod p$  is indecomposable, but decomposes over  $\bar{\mathbb{F}}_p$ .

## Two automorphic function questions

[Fr05, §6] poses an analog of [Se03] to find an automorphic funct. (should exist according to Langlands) for primes of except. for  $j \leftrightarrow$  Ogg's curve  $3^+$  [Se81, extensive discuss]. Would give an explicit structure to the primes of exceptionality.

For any exceptional  $f_{j,r} \pmod{p}$ , form a Poincaré series with the period of exceptionality its coefficients. Conjecture, this series is rational. This result would then remove from consideration the arbitrary identification of  $\mathbb{P}_w^1$  with  $\mathbb{P}_z^1$ .

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