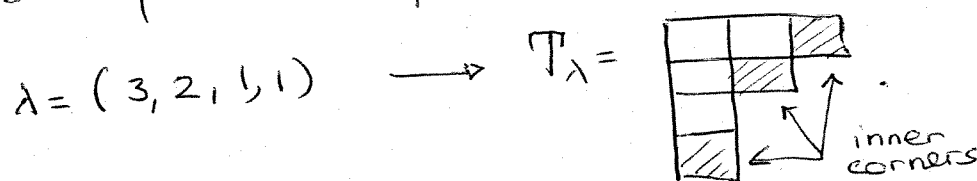


# BRANCHING RULES

□

we study the restriction of the Specht module  $S^\lambda$  of  $S_n$  to  $S_{n-1}$ .  
[We identify  $S_{n-1}$  with the subgroup of  $S_n$  consisting of all the partitions that fix  $n$ ].

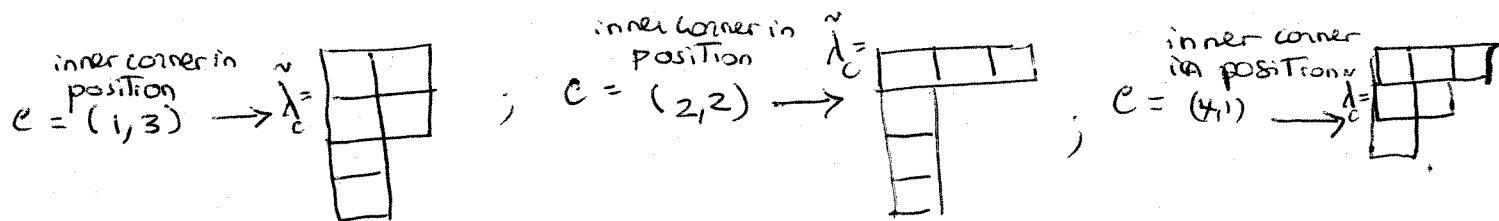
let  $\lambda$  be a partition of  $n$ . look at the Ferrer diagram  $T_\lambda$  of  $\lambda$  =



An inner corner of  $T_\lambda$  is a box that sits simultaneously at the end of a row and at the end of a column of  $T_\lambda$ .

## KEY OBSERVATION:

If we remove an inner corner  $c$  from  $T_\lambda$  then we obtain a Ferrer diagram for a well defined partition  $\tilde{\lambda}_c$  of  $n-1$ .



Notice that the inner corners are the only boxes of  $T_\lambda$  that can be removed in order to get an  $(n-1)$ -Tableau

## ANOTHER KEY OBSERVATION:

If  $t$  is any standard Tableau of shape  $\lambda$ , then  $[n]$  can

only sit in an inner corner of  $11_\lambda$ . Indeed the rows and the columns of  $t$  must increase  $\Rightarrow n$  must be at the end of a row and at the end of a column. Say that  $n$  sits in  $c$ .

If you remove the inner corner  $c$  (with its content  $[n]$ ) from  $t$ , then you obtain a standard tableau  $\tilde{t}_c$  of shape  $\tilde{\lambda}_c \vdash n-1$ .

The map

$$\left\{ \begin{array}{l} \text{standard tableaux of shape } \lambda_n \\ \text{with } n \text{ in the inner corner } [c] \end{array} \right\} \xrightarrow{\theta_c} \left\{ \begin{array}{l} \text{standard tableaux} \\ \text{of shape } \tilde{\lambda}_c \vdash n-1 \end{array} \right\}$$

$$t \xrightarrow[\text{remove } c]{} \tilde{t}_c$$

is a bijection. We also notice that

if  $\sigma \in S_{n-1}$  is a permutation of  $\{1, \dots, n\}$  that fixes  $n$ , then

$$\theta_c(\sigma t) = \sigma \tilde{t}_c.$$

Now we have all the ingredients to describe the restriction of  $S^\lambda$  to  $S_{n-1}$ .

Standard polytabloids  $(\text{of shape } \lambda)$  are a basis  $B$  of  $S^\lambda$ .

Partition this basis as follows:

$$B = \bigsqcup_{\substack{\text{inner} \\ \text{corners } [c]}} [1]$$

$$\{e_t : t \text{ standard tableau with } n \text{ sitting in the inner corner } [c]\}$$

We obtain a vector space decomposition:

3

$$S^{\lambda} = \bigoplus_{\text{inner corners } c} S_c$$

with  $S_c = \text{Span}\{e_t : t \text{ standard tableau with } n \text{ sitting in } c\}$ .  
 then, for each inner corner  $c$ ,  $S_c$  is stable under the action of  $S_{n-1}$  and is "naturally" isomorphic to the Specht module  $S^{\tilde{\lambda}_c}$  of  $S_{n-1}$ . An  $S_{n-1}$  isomorphism carries  $e_t \rightarrow e_{\tilde{t}_c}$ .

So we get:

$$\text{Res}_{S_{n-1}}^{S_n}(S^{\lambda}) = \bigoplus_{\text{inner corners } c} S^{\tilde{\lambda}_c}$$

Example: If  $\lambda = \begin{array}{|c|c|c|} \hline & & 4 \\ \hline & 2 & \\ \hline 3 & & \\ \hline \end{array} = (3, 2, 1, 1)$ , Then There are exactly three inner corners. Their removal gives rise to the partitions

$$\tilde{\lambda}_{c_1} = (2, 2, 1, 1) ; \tilde{\lambda}_{c_2} = (3, 1, 1, 1) ; \tilde{\lambda}_{c_3} = (3, 2, 1)$$

of  $n-1=6$ .

Hence

$$\text{Res}_{S_6}^{S_7}[S^{(3, 2, 1, 1)}] = S^{(2, 2, 1, 1)} \oplus S^{(3, 1, 1, 1)} \oplus S^{(3, 2, 1)}$$

Using Frobenius reciprocity, we can transfer these <sup>[4]</sup>  
 "results on restrictions" to "results on inductions".

Let  $\lambda \vdash n$ . Write  $\text{Ind}_{S_n}^{S_{n+1}}(S^\lambda)$  as a direct sum of irreducible representations of  $S_{n+1}$ :

$$\text{Ind}_{S_n}^{S_{n+1}}(S^\lambda) = \bigoplus_{\mu \vdash n+1} c_\mu S^\mu.$$

By Frobenius reciprocity

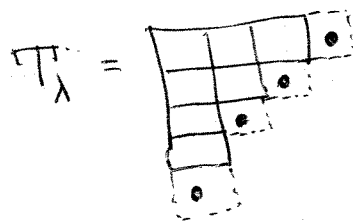
$$\begin{aligned} c_\mu &= \text{multiplicity of } S^\mu \text{ in } \text{Ind}_{S_n}^{S_{n+1}}(S^\lambda) = \\ &= \text{multiplicity of } S^\lambda \text{ in } \text{Res}_{S_n}^{S_{n+1}}(S^\mu) = \end{aligned}$$

$$= \begin{cases} 1 & \text{if } \pi^\lambda \text{ can be obtained from } \pi_\mu \text{ by removing} \\ & \text{an inner corner} \\ 0 & \text{otherwise.} \end{cases}$$

It is convenient to introduce the notion of "outer corner".

An outer corner of  $\lambda$  is a node  $(i, j) \notin \lambda$  whose addition to  $\pi_\lambda$  produces the Ferrer diagram of a partition of  $n+1$ .

Example:  $\lambda = (3, 2, 1, 1)$



there are 4 outer corners.

By adding a box in an outer corner, you obtain the partitions  $(4, 2, 1, 1)$ ;  $(3, 3, 1, 1)$ ;  $(3, 2, 2, 1)$  and  $(3, 2, 1, 1, 1)$   
 and  $n+1 = 8$ .

It's clear that for all partitions  $\lambda \vdash n$  and  $\mu \vdash n+1$  [5]  
we can write

$\mu$  is obtained from  $\lambda$  by adding a box in an outer corner

$\iff$

$\lambda$  is obtained from  $\mu$  by removing a box from an inner corner

Therefore the multiplicity  $c_\mu$  of  $S^\mu$  in  $\text{Ind}_{S_n}^{S_{n+1}} S^\lambda$  is given by:

$$c_\mu = \begin{cases} 1 & \text{if } \mu \text{ can be obtained from } \lambda \text{ by adding a box in an outer corner} \\ 0 & \text{otherwise.} \end{cases}$$

For every outer corner o.c. of  $\lambda \vdash n$ , denote by  $\tilde{\lambda}_{oc}$  the corresponding partition of  $n+1$  obtained from  $\lambda$  by adding the outer corner

Then

$$\text{Ind}_{S_n}^{S_{n+1}} (S^\lambda) = \bigoplus_{\text{outer corners}} S^{\tilde{\lambda}_{oc}}$$

Example:  $\text{Ind}_{S_7}^{S_8} (S^{(3,2,1,1)}) = S^{(4,2,1,1)} \oplus S^{(3,3,1,1)} \oplus S^{(3,2,2,1)} \oplus S^{(3,2,1,1,1)}$

# The ring of symmetric functions

Let  $x = \{x_1, x_2, x_3, \dots\}$  be an infinite set of variables.

For each sequence  $\alpha = (\alpha_1, \alpha_2, \dots)$  of non-negative integers s.t.  $\sum_{i \geq 1} \alpha_i = n$ , we say that

$$x^\alpha = x_1^{\alpha_1} x_2^{\alpha_2} \dots$$

is a monomial of degree  $n$ .

[You can clearly ignore the zero entries of the sequence  $\alpha$ , and write  $x^\alpha$  as a product of only a finite number of variables:

$$x^\alpha = x_{i_1}^{\alpha_{i_1}} x_{i_2}^{\alpha_{i_2}} \dots x_{i_k}^{\alpha_{i_k}}.]$$

A formal power series is an infinite linear combination of monomials:

$$f(x) = \sum_{\alpha} c_{\alpha} x^{\alpha}.$$

We take coefficients in  $\mathbb{C}$ , and we denote the ring of formal power series by  $\mathbb{C}[[x]]$ .

Def - A formal power series is called homogeneous of degree  $n$  if all the monomials have degree  $n$ , and is called symmetric if

$$f(x_1, x_2, \dots) = f(x_{\pi(1)}, x_{\pi(2)}, \dots)$$

for every permutation of the set  $P = \mathbb{Z}_{>0}$  of positive integers.

Example:  $f(x) = \sum_{i,j} x_i^3 x_j$  is a homogeneous symmetric function of degree 4.

A more concrete definition: a homogeneous function of degree  $n$

$$f = \sum_{\alpha: \text{composition of } n} c_{\alpha} x^{\alpha}$$

is symmetric if  $c_{\alpha} = c_{\alpha'}$  for every permutation  $\alpha' = (\alpha'_1, \alpha'_2, \dots)$  of  $\alpha = (\alpha_1, \alpha_2, \dots)$ .

Equivalently, for every partition  $\lambda = (\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \dots \geq \lambda_k > 0)$  of  $n$ , and every permutation  $\lambda'$  of  $\lambda = (\lambda_1, \lambda_2, \dots)$ ,  $c_{\lambda} = c_{\lambda'}$ .

For all  $n > 0$ , set

$$\Lambda^n = \{ \text{homogeneous symmetric formal power series of degree } n \} \cup \{0\}.$$

Clearly  $\Lambda^n$  is a vector space. We will prove that the dimension of  $\Lambda^n$  equals the number of partitions of  $n$ .

Also set  $\Lambda^0 = \mathbb{C}$  and  $\Lambda = \bigoplus_{n \geq 0} \Lambda^n$ .

$\Lambda$  is an infinite-dimensional vector space, and also a graded ring:  $\Lambda^n \Lambda^m \subseteq \Lambda^{n+m}$ .  
We call  $\Lambda$  the ring of symmetric functions.

► The purpose of this lecture is to describe many bases of  $\Lambda$ .

[Remark: We will notice shortly that  $\Lambda$  is not the set of all formal power series that satisfy the condition

$$f(x_1, x_2, \dots) = f(x_{\pi(1)}, x_{\pi(2)}, \dots) \quad \forall \pi.$$

So the name "ring of symmetric functions" for  $\Lambda$  is somehow an abuse of notations...].

# Monomial Symmetric functions

Given  $\lambda = (\lambda_1, \lambda_2, \dots) \vdash n$ , define a symmetric function  $m_\lambda(x) \in \Lambda^n$  by

$$m_\lambda = \sum_{\alpha} x^\alpha$$

where the sum ranges over all distinct permutations  $\alpha = (\alpha_1, \alpha_2, \dots)$  of the entries of the vector  $\lambda = (\lambda_1, \lambda_2, \dots)$ .

[Remark: if  $\lambda \vdash n$ ,  $m_\lambda$  is a <sup>homogeneous</sup> symmetric function of degree  $n$  in an unlimited number of variables].

Examples  $m_\emptyset = 1 \leftarrow n=0$

$$m_1 = \sum_i x_i \leftarrow n=1$$

$$\left. \begin{aligned} m_2 &= \sum_i x_i^2 \\ m_{11} &= \sum_{i < j} x_i x_j \end{aligned} \right] \leftarrow n=2$$

$$\left. \begin{aligned} m_3 &= \sum_i x_i^3 \\ m_{21} &= \sum_{i,j} x_i^2 x_j \\ m_{111} &= \sum_{i < j < k} x_i x_j x_k \end{aligned} \right] \leftarrow n=3$$

We call  $m_\lambda$  a "monomial symmetric function", because it is obtained by symmetrizing the monomial  $x_1^{\lambda_1} \dots x_k^{\lambda_k}$  (here  $\lambda = \lambda_1 > \lambda_2 > \dots > \lambda_k > 0$ ).

Theorem The set  $\{m_\lambda : \lambda \vdash n\}$  is a basis for  $\Lambda^n$ . [9]

proof - Let  $f = \sum_{\alpha} c_\alpha x^\alpha$  be an element of  $\Lambda^n$ .  
composition of n

If  $\alpha = (\alpha_1, \alpha_2, \dots)$  is a permutation of  $\lambda = (\lambda_1, \lambda_2, \dots)$ , then  $c_\alpha = c_\lambda$ .

Then we can write

$$f(x) = \sum_{\lambda \vdash n} c_\lambda \left( \sum_{\substack{\text{distinct} \\ \text{permutations } \alpha \\ \text{of } \lambda}} x^\alpha \right) = \sum_{\lambda \vdash n} c_\lambda m_\lambda.$$

□

Corollary 1 -  $\dim(\Lambda^n) = \# \text{ partitions of } n$ .

Corollary 2 - The set  $\{m_\lambda\} = \bigcup_{n \geq 0} \{m_\lambda : \lambda \vdash n\}$  is a basis of  $\Lambda = \bigoplus_{n \geq 0} \Lambda^n$ .

It follows that every element of  $\Lambda$  is a finite linear combination of  $m_\lambda$ 's. Notice that this implies that

$$\Lambda \subsetneq \{f \in \mathbb{C}[[x]] : f \text{ "symmetric"}\}.$$

For instance,  $g(x) = \prod_{i \geq 1} (1 + x_i)$  is a formal power series which satisfies the condition

$$g(x_1, x_2, \dots) = g(x_{\pi(1)}, x_{\pi(2)}, \dots) \quad \forall \pi \text{ permutation of } \mathbb{Z}_{\geq 0}$$

but  $g$  is not a finite linear combination of  $m_\lambda$ 's.

# Elementary Symmetric functions

For every  $k \geq 1$  define

$$e_k = m_{1^k} = \sum_{i_1 < i_2 < \dots < i_k} x_{i_1} x_{i_2} \dots x_{i_k}$$

and set  $e_0 = 1$ .

$e_k$  is a homogeneous symmetric function of degree  $k$ , and is called the "elementary  $k^{\text{th}}$  symmetric function".

For every partition  $\lambda \vdash n$ , say  $\lambda = \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_\ell > 0$ , set

$$e_\lambda = e_{\lambda_1} e_{\lambda_2} \dots e_{\lambda_\ell}$$

Example :

$$e_{2,1} = \left( \sum_{i \neq j} x_i x_j \right) \left( \sum_k x_k \right)$$

$$e_3 = \sum_{i < j < k} x_i x_j x_k$$

$$e_{1^3} = \left( \sum_i x_i \right) \left( \sum_j x_j \right) \left( \sum_k x_k \right)$$

We will prove that the set  $\{e_\lambda : \lambda \vdash n\}$  is a basis of  $\Lambda^n$ , so the set  $\{e_\lambda\}$  is a basis of  $\Lambda$  (as a vector space).

[Equivalently, we can say that  $\{e_j\}_{j \in \mathbb{N}}$  is a multiplicative basis for  $\Lambda$  : every element of  $\Lambda$  can be expressed as a polynomial in the  $e_j$ 's.]

Because the set  $\{m_\mu : \mu \vdash n\}$  forms a basis of  $\Lambda^n$ , for every partition  $\lambda \vdash n$ , we must be able to express  $e_\lambda$  as a linear combination of  $m_\mu$ 's.

Theorem - Let  $\lambda'$  be the dual partition of  $\lambda$ .

then

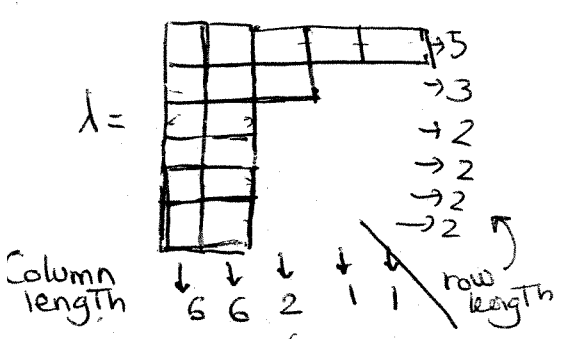
$$e_\lambda = m_{\lambda'} + \sum_{\mu \triangleleft \lambda'} a_{\lambda\mu} m_\mu.$$

[  $\triangleleft$  is the dominance order of partitions. It's just a partial order ]

DEFINITION OF DUAL PARTITION:

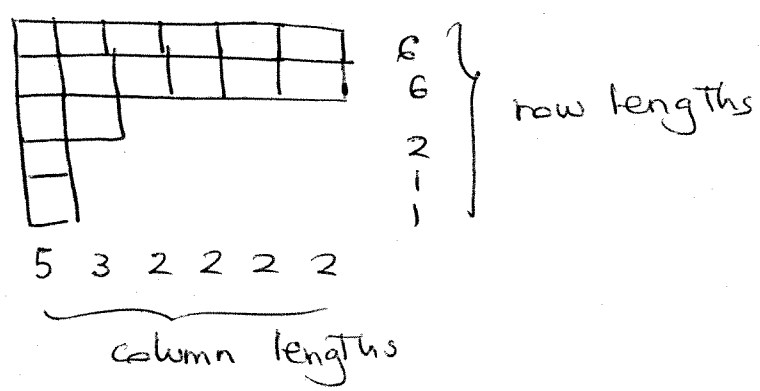
If  $\lambda = \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k$ , <sup>the parts of  $\lambda$</sup>  the dual partition  $\lambda' = (\lambda'_1 \geq \dots \geq \lambda'_\ell)$  are the lengths of the columns of the Ferrer diagram of  $\lambda$ .

Example :  $\lambda = (5, 3, 2, 2, 2, 2)$



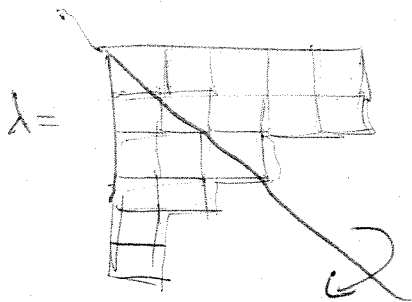
$\Rightarrow$  Columns of  $\lambda$  have length 6, 6, 2, 1, 1

$\Rightarrow \lambda' = (6, 6, 2, 1, 1)$



You must switch column lengths with row lengths!!  
 Equivalently, the Ferrer diagram of  $\lambda'$  is obtained from the Ferrer diagram of  $\lambda$  by reflecting across

The main diagonal:



$\mu$  is a partition of  $n$  and

[To prove This Theorem, we first notice that if  $x^\mu$  is a monomial in  $e_\lambda$ , then  $\mu \leq \lambda'$ .

This will give us:  $e_\lambda = \sum_{\mu \leq \lambda'} a_{\lambda'\mu} m_\mu$ , because  $e_\lambda$  is symmetric, then we show that  $a_{\lambda'\lambda'} = 1$ ].

By definition,  $e_\lambda = e_{\lambda_1} e_{\lambda_2} \dots e_{\lambda_k} =$

$$= \left( \sum_{i_1 < \dots < i_{\lambda_1}} x_{i_1} \dots x_{i_{\lambda_1}} \right) \left( \sum_{j_1 < \dots < j_{\lambda_2}} x_{j_1} x_{j_2} \dots x_{j_{\lambda_2}} \right) \dots \left( \sum_{l_1 < \dots < l_{\lambda_k}} x_{l_1} \dots x_{l_{\lambda_k}} \right).$$

Suppose that  $i_1 < \dots < i_{\lambda_1}, \dots, l_1 < \dots < l_{\lambda_k}$  are indices s.t.  $(x_{i_1} \dots x_{i_{\lambda_1}})(x_{j_1} \dots x_{j_{\lambda_2}}) \dots (x_{l_1} \dots x_{l_{\lambda_k}}) = x^\mu = x_1^{\mu_1} \dots x_s^{\mu_s}$ .

Enter the indices  $i_1 \dots i_{\lambda_1}$  in the first column of the Ferrer diagram of  $\lambda'$ , the indices  $j_1 \dots j_{\lambda_2}$  in the second column and so on...

|                 |                 |     |                 |
|-----------------|-----------------|-----|-----------------|
| $i_1$           | $j_1$           | ... | $l_1$           |
| $i_2$           | $j_2$           | ... | $\vdots$        |
| $\vdots$        | $\vdots$        | ... | $l_{\lambda_k}$ |
| $i_{\lambda_1}$ | $j_{\lambda_2}$ |     |                 |

( $\lambda'$  is the dual partition so the columns have length  $\lambda_1, \lambda_2, \dots, \lambda_k$ ). We obtain

a generalized Tableau of shape  $\lambda'$  and content  $\mu$ .

Notice that if  $i_a = t$ , then  $a \leq t$ .

[For instance you cannot have  $i_3 = 2$ , because  $i_1 < i_2 < i_3$ ].

Similarly, if  $j_a \leq t$  then  $a \leq t$  and so on... [9]

$\Rightarrow$  All the numbers  $\leq r$  are entered in the first  $r$  rows.

Because we enter a total of  $\mu_1$  one's,  $\mu_2$  two's (etc) we need:

$$\mu_1 \leq \text{length of 1st row of } \lambda' = \lambda'_1$$

$$\mu_1 + \mu_2 \leq \sqrt{\text{sum of the}} \text{ lengths of first two rows of } \lambda' = \lambda'_1 + \lambda'_2$$

$\vdots$

$$\mu_1 + \dots + \mu_k \leq \lambda'_1 + \lambda'_2 + \dots + \lambda'_k$$

$\vdots$

this proves that  $\mu \leq \lambda'$ . ok This is the dominance order!!!

$$\Rightarrow e_\lambda = \sum_{\mu \leq \lambda'} a_{\lambda\mu} m_\mu \text{ for some coefficients } a_{\lambda\mu}.$$

Next, we want to compute the coefficient  $a_{\lambda\lambda'}$  (and show it's 1).

Recall that for all  $\mu \leq \lambda'$

$$a_{\lambda'\mu} = \# \text{ of ways of writing } x^\mu = x_1^{\mu_1} \dots x_k^{\mu_k} \text{ as } (x_{i_1} \dots x_{i_{\lambda'_1}}) \dots (x_{l_1} \dots x_{l_{\lambda'_k}})$$

$$\text{with } i_1 < \dots < i_{\lambda'_1}; \dots; l_1 < \dots < l_{\lambda'_k}.$$

$$\text{If } \mu = \lambda' \text{ and } (x_{i_1} \dots x_{i_{\lambda'_1}}) \dots (x_{l_1} \dots x_{l_{\lambda'_k}}) = x_1^{\lambda'_1} \dots x_k^{\lambda'_k}$$

then the tableau associated to the monomial has shape  $\lambda'$  and content  $\mu = \lambda'$ .

There's only one tableau with this property, namely

|   |   |   |     |     |   |
|---|---|---|-----|-----|---|
| 1 | 1 | 1 | 1   | ... | 1 |
| 2 | 2 | 2 | ... | 2   |   |

$\Rightarrow$  there's only 1 monomial with

This property, namely

$$(x_1 x_2 \dots x_{\lambda_1}) (x_1 x_2 \dots x_{\lambda_2}) \dots (x_1 \dots x_{\lambda_k})$$

this proves that  $a_{\lambda'\lambda} = 1$  and

$$e_\lambda = m_{\lambda'} + \sum_{\mu \triangleleft \lambda} \underbrace{a_{\lambda'\mu}}_{\substack{\uparrow \\ \text{non-negative} \\ \text{integers}}} m_\mu. \quad \square$$

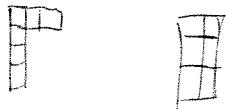
Remark - the partial ordering  $\triangleright$  has the property that if  $\lambda_1 \triangleright \lambda_2$  then  $\lambda'_1 \triangleleft \lambda'_2$ , but it is not a total ordering (if  $n \geq 6$ ). On the other hand, the lexicographic order is a total order but does not have the nice property that  $\lambda_1 \triangleright \lambda_2 \Rightarrow \lambda'_1 \triangleleft \lambda'_2$ .



example:  $\lambda_1 = (4, 1, 1) > (3, 3) = \lambda_2$

taking duals we get:

$$\lambda'_1 = (3, 1, 1, 1) > (2, 2, 2) = \lambda'_2$$



instead of  $\lambda'_1 < \lambda'_2$  as desired.

Nonetheless, we can always define a <sup>total</sup> ordering of partitions

$$\lambda_1 < \lambda_2 < \dots < \lambda_r$$

which is compatible with the dominance order

□

(ie.  $\lambda \trianglelefteq \mu \Rightarrow \lambda \leq \mu$ )

s.t.  $\lambda'_1 \geq \lambda'_2 \geq \dots \geq \lambda'_r$  is also compatible with the dominance order.

For instance, for  $n=6$ , you can choose

$$6 > (5,1) > (4,2) > (3,3) > (4,2,1) > (3,2,1) > (3,1^3) > 2^3 > \\ > (2^2,1^2) > (2,1^4) > (1^6).$$

If you choose this ordering of the partitions of  $n$ , the matrix that expresses <sup>the</sup>  $e_\lambda$ 's in terms of the  $(m_\mu)$ 's is triangular, with 1 on the diagonal.

Therefore, you can solve the triangular system

$$e_\lambda = m_\lambda + \sum_{\mu \triangleleft \lambda} \tilde{a}_{\lambda\mu} m_\mu \quad \forall \lambda \vdash n$$

to find  $m_\lambda$  as a linear combination of  $e_\mu$ 's:

$$m_\lambda = e_\lambda + \sum_{\mu \triangleright \lambda} b_{\lambda\mu} e_\mu.$$

It follows that the elements  $\{e_\lambda : \lambda \vdash n\}$  are also a basis of  $\Lambda^n$ .

$\Rightarrow$  The set  $\{e_\lambda\}$  is a basis of  $\Lambda$ .